

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

1330.1013-C2

APPLICATION NO.

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

FIRST NAMED INVENTOR

Donald A. SMALLEY et al.

FILING DATE

April 19, 2001

GROUP ART UNIT

 11000 U.S. PTO
 09/837428
 04/19/01

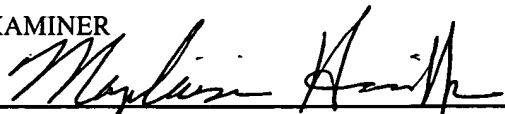
U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA	5,532,928	7/96	Stanczyk et al.			
	AB	5,664,112	9/97	Sturgeon et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO	
	AC							
	AD							
	AE							
	AF							
	AG							
	AH							

EXAMINER



DATE CONSIDERED

1/23/04

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

FORM PTO-1449	U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE	ATTORNEY DOCKET NO. 1330.1013C2	APPLICATION NO. 09/837,428
LIST OF REFERENCES CITED BY APPLICANT <i>(Use several sheets if necessary)</i>		FIRST NAMED INVENTOR Donald A. SMALLEY, et al.	
		FILING DATE April 19, 2001	GROUP ART UNIT 2171

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA						
	AB						
	AC	5,291,398	3/1/94	Hagan	364	408	7/10/92
	AD	5,631,828	5/20/97	Hagan	395	204	6/24/94
	AE	5,864,685	1/26/99	Hagan	395	235	2/28/94
	AF						
	AG						

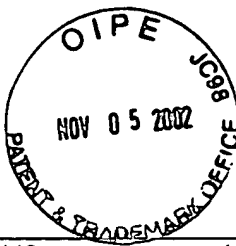
FOREIGN PATENT DOCUMENTS

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	AH						
	AI						
	AJ						
	AL						
	AM						
	AN						
	AO						

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AM	
	AN	
	AO	

EXAMINER <i>M. Halsey</i>	DATE CONSIDERED <i>1/23/01</i>
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Sheet 1 of 1

FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICE

ATTORNEY DOCKET NO.

1330.1013C2

APPLICATION NO.

09/837,428

LIST OF REFERENCES CITED BY APPLICANT

(Use several sheets if necessary)

FIRST NAMED INVENTOR

Donald A. SMALLEY, et al.

FILING DATE

April 19, 2001

GROUP ART UNIT

2171

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AA						
	AB						
	AC						
	AD						
	AE						
	AF						

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NOV 05 2002
Technology Center 2100

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO	
	AG							
	AH							
	AI							
	AJ							
	AK							

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

MA	AL	Radian International LLC, Regional Air Pollutant Inventory Development System/Records Management System, March 1997
MA	AM	Leonard Bruckman, et al., Development of an Automated Permitting System for the Michigan Department of Natural Resources, February 9, 1994, pp. 1-66
MA	AN	Radian International LLC, Design/Specifications for the IDNR Title V Operating Permit Record Management (Imaging) Application (RAPIDS/RMS), January 21, 1997

EXAMINER

DATE CONSIDERED

1/23/04

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ATTACHMENT 1(g)

LIST OF ADDITIONAL SUBMITTED DOCUMENTS

ATTORNEY DOCKET NO.	APPLICATION NO.
1330.1013C2	09/837,428
FIRST NAMED INVENTOR	
Donald A. SMALLEY, et al.	
FILING DATE	GROUP ART UNIT
April 19, 2001	2171

The following document(s) is/are listed in accordance with the duty of disclosure provisions of 37 CFR § 1.56, so that the Examiner may consider same should he deem any thereof to be material to examination of the subject application. Pursuant to 37 CFR 1.98(a)(2)(iii), a copy of any identified copending application(s) is provided.

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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	RECEIVED	SUB-CLASS	FILING DATE
	AA				NOV 11 2002		

FOREIGN PATENT DOCUMENTS

Technology Center 2100

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION YES NO	
	AB							
	AC							

OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

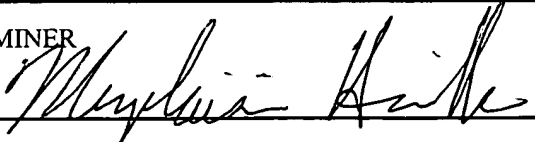
<i>LMA</i>	AD	Radian International LLC, Regional Air Pollutant Inventory Development System/Records Management System, March 1997
<i>MA</i>	AE	Leonard Bruckman, et al., Development of an Automated Permitting System for the Michigan Department of Natural Resources, February 9, 1994, pp. 1-66
<i>MA</i>	AF	Radian International LLC, Design/Specifications for the IDNR Title V Operating Permit Record Management (Imaging) Application (RAPIDS/RMS), January 21, 1997

EXAMINER <i>Marla Ann Acilla</i>	DATE CONSIDERED <i>1/22/04</i>
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LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)		FIRST NAMED INVENTOR Donald A. SMALLEY et al.	
		FILING DATE April 19, 2001	GROUP ART UNIT

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

AA	Portable Maintenance, Dilger, Karen Abramic, <u>Manufacturing Systems</u> , Vol 15, No. 12, pp 20-22, December 1997
AB	Pen PC's Power Gas Company, John Longwell, Computer Reseller News, No. 628, pp S15-S16I, May 1995
AC	Computerized Applications in Composting and Recycling. David Riggle, <u>Biocycle</u> , Vol 43, No. 3, pp 60-63, March 1993
AD	Ending the Method 21 Paper Chase. Environmental Solutions, pp 30, June 1996
AE	Health and Safety Inspect Software Developed for Badger Ruggedized Handheld Computers. News Release, March 14, 1994
AF	Improve Portable Monitor Selection. S Hennigan et al., Hydrocarbon Processing, Vol 74, N. 2, pp 76(8) February 1995
AG	The Age of Aquarius: Wetlands Take Center Stage. Beth Wade, American City & Country, Vol 109, No. 12, pp 50(8), November 1994
AH	Georgia Tech Video: Integrated Optic Sensor May Soon Revolutionize Chemical Testing. <u>Optical Materials & Engineering News</u> , Vol 4, No. 2, October 1993
AI	PDSI to Offer Maximo® Wireless in June 1999. PR Newswire Boston, March 15, 1999
AJ	Penning Vertical Software. VARBusiness, June 1994 pp. 173 by Katherine Bull
AK	Badger sets sights on VARs to sell "rugged" computers. Computer Reseller News, October 25, 1993, p124 by Eric Hausman.
AL	"Grant Application & Management System - Version 6.01.95", North Carolina State University, pages 12-29, June 1995

EXAMINER 	DATE CONSIDERED 1/23/04
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ATTACHMENT 1(f)

LIST OF COPENDING APPLICATIONS	ATTORNEY DOCKET NO. 1330.1013-C2	APPLICATION NO.
	FIRST NAMED INVENTOR Donald A. SMALLEY et al.	
	FILING DATE April 19, 2001	GROUP ART UNIT

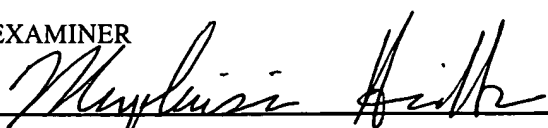
The following, prior-filed, copending U.S. patent application(s) is/are listed in accordance with the duty of disclosure provisions of 37 CFR § 1.56, so that the Examiner may consider same should he deem any thereof to be material to examination of the subject application. Pursuant to 37 CFR 1.98(a)(2)(iii), a copy of the identified copending application(s) is provided.

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U.S. PATENT APPLICATION DOCUMENTS

*EXAMINER INITIAL		U.S. SERIAL NO.	FILING DATE	NAME	ASSIGNEE
	1	09/528,718	03/20/00	Donald A. SMALLEY et al.	AMERICAN MANAGEMENT SYSTEMS, INC.
	2				
	3				
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	7				
	8				

EXAMINER 	DATE CONSIDERED 1/23/04
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ATTACHMENT 1(g)

LIST OF ADDITIONAL SUBMITTED DOCUMENTS	ATTORNEY DOCKET NO. 1330.1013-C2	APPLICATION NO.
	FIRST NAMED INVENTOR Donald A. SMALLEY et al.	
	FILING DATE April 19, 2001	GROUP ART UNIT

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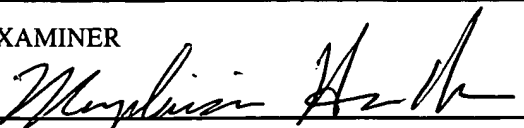
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U.S. PATENT DOCUMENTS

*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA	5,765,140	6/98	Knudson et al.			
	AB	5,793,636	8/98	Cooney et al.			
	AC	5,808,916	9/98	Orr et al.			
	AD	5,890,129	3/99	Spurgeon			
	AE	5,726,884	3/98	Sturgeon et al.			
	AF	6,067,549	5/00	Smalley et al.			

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, Etc.)

	AG	Information Technology Seen Key to Cutting New Nuclear Plant Costs, Nucleonics Week, Vol. 41, No. 16, P. 2, April 20, 2000
	AH	Wireless IP - A Case Study, Peter Rysavy, Rysavy Research for PCS Data Today online journal, April 30, 1999
	AI	Touring NPEM 1999: Information Technologies for Maintenance Management Show Promise, Innovation, Tom Singer, Tompkins Associates, Inc., Plant Engineering Magazine, May 1, 1999

EXAMINER 	DATE CONSIDERED 1/23/01
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**ATTACHMENT 1(g)**

LIST OF ADDITIONAL SUBMITTED DOCUMENTS	ATTORNEY DOCKET NO.	APPLICATION NO.
	1330.1013C2	09/837,428
	FIRST NAMED INVENTOR	
	Donald A. SMALLEY, et al.	
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	April 19, 2001	2171

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	AA						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO	
	AB							

OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

<i>MA</i>	AC	Regional Air Pollutant Inventory Development System, System Manual, February 1997, By Windsor Technologies, Inc.
<i>MA</i>	AD	Regional Air Pollutant Inventory Development System, Users Guide, Version 2.0, Users Guide, By Windsor Technologies, Inc. (no date is provided on this document)

EXAMINER <i>Myra Halsey</i>	DATE CONSIDERED <i>1/22/04</i>
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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U.S. PATENT DOCUMENTS

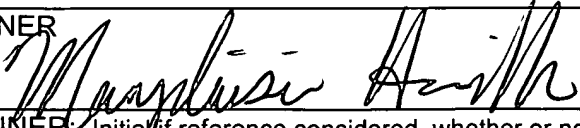
*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
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FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
	AF						

OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	AG	SPARS Users Guide, Version 2.07. July 23, 2001 (post dates our priority date) by Windsor Technologies, Inc.
	AH	Environmental Performance Partnership Agreement between Connecticut Department of Environmental Protection and US Environmental Protection Agency, Region 1, For Fiscal Years 2000 and 2001, December 10, 1999 (post dates our priority date)

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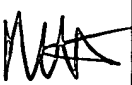
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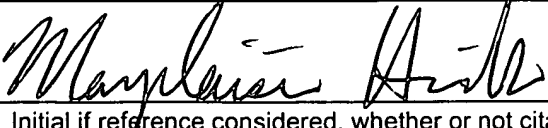
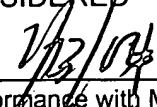
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	AJ							

OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	AK	State of Connecticut Information Integration and Improvement Project, revision date 9/99 (post dates our priority date)
	AL	State of Wisconsin Department of Natural Resources, Information Technology Strategic Plan, 9/2000 (post dates our priority date)

EXAMINER		DATE CONSIDERED	
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	AN							

OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	AO	U.S. Environmental Protection Agency, One Stop Reporting, State of Wisconsin Baseline Summary, 10/26/98 web site http://www.dnr.state.wi.us/org/caer/cea/projects/one_stop/summary.htm#STATE%20OF%20WISCONSIN%20VISION%20AND%20OBJECTIVES
	AP	Compliance Assurance Principals, Alberta Environment, June 2000

EXAMINER 	DATE CONSIDERED 4/23/03
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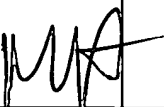

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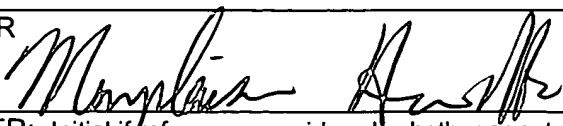
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FOREIGN PATENT DOCUMENTS

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	AR						

OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	AS	Request for Proposal for eFACTS Phase IV New Software Development and Maintenance Activities, Issued by Commonwealth of Pennsylvania, Department of Environmental Protection, January 23, 2002
	AT	COMPAQ Response to the Solicitation for an Integrated Management System Project for the Florida Department of Environmental Protection, January 31, 2002

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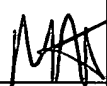

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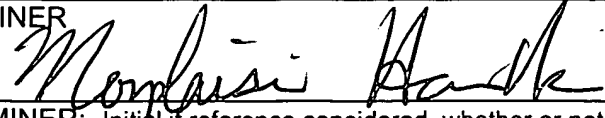
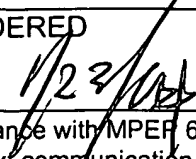
*EXAMINER INITIAL		DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE
	AU						

FOREIGN PATENT DOCUMENTS

		DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO
	AV						

OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

	AW	Solicitation for an Integrated Management System Project for The Florida Department of Environmental Protection January 11, 2002
	AX	State of Tennessee Department of Environmental Conservation, Request for Proposal for Acquisition, Installation, and Training for the Integrated Resource Information System (no date)

EXAMINER 	DATE CONSIDERED 
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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ATTACHMENT 1(g)

LIST OF ADDITIONAL SUBMITTED DOCUMENTS	ATTORNEY DOCKET NO.	APPLICATION NO.
	1330.1013C2	09/837,428
	FIRST NAMED INVENTOR	
	Donald A. SMALLEY, et al.	
	FILING DATE	GROUP ART UNIT
	April 19, 2001	2171

The following document(s) is/are listed in accordance with the duty of disclosure provisions of 37 CFR § 1.56, so that the Examiner may consider same should he deem any thereof to be material to examination of the subject application. Pursuant to 37 CFR 1.98(a)(2)(iii), a copy of any identified copending application(s) is provided.

It is requested that the Examiner acknowledge his consideration of document(s) below-listed by initialing same in the space provided adjacent each such application and that the Examiner sign and date this form at the bottom thereof to confirm such consideration having been given.

This submission in no way represents an admission that any of the information listed herein constitutes prior art with respect to the subject application and unless and until such prior art status is established, this submission is not a request that the information presented herein be printed on the face of any patent issuing from the subject application in which this information is being filed.

U.S. PATENT DOCUMENTS

*EXAMINER INITIAL	DOCUMENT NO.	DATE	NAME	CLASS	SUB- CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

DOCUMENT NO.	DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION YES NO

OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

<i>NA</i>	AY	Commonwealth of Pennsylvania and Compaq Computer Corporation, eFact? Imagine a Day When? presentation, 2002
<i>NA</i>	AZ	Pennsylvania Department of Environmental Protection efacts web pages, www.dep.state.pa.us/efacts

EXAMINER <i>Matthew Hall</i>	DATE CONSIDERED <i>1/28/04</i>
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

<i>NA</i>	BA	eFACTS, the Movie ; key screen shots provided
<i>HA</i>	BC	COMPAQ to Market eFACTS to State Environmental Agencies, eFACTS, the Movie; key screen shots provided, Press Release July 19, 2001

EXAMINER <i>Marjorie A. H</i>	DATE CONSIDERED <i>10/23/04</i>
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OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

<i>MA</i>	BD	The Pennsylvania Department of Environmental Protections System for Displaying and Analyzing DEP Permitted Facilities, About eFACTS-SPATIAL, pages 1-4
<i>MA</i>	BE	Jill Rosen, Permit-tracking System in Demand, July 24, 2001, pages 1-2, Federal Computer Week, www.fcw.com

EXAMINER	DATE CONSIDERED
<i>Mylovisi A. M.</i>	<i>12/3/04</i>
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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ATTACHMENT 1(g)

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OTHER REFERENCES (INCLUDING AUTHOR, TITLE, DATE, PERTINENT PAGES, ETC.)

<i>MA</i>	BF	Jill Rosen, Just the eFACTS, September 3, 2001, pages 1-2, Federal Computer Week, www.fcw.com
<i>MA</i>	BG	Bill Bergstrom, Online Environment Plan for Compaq, July 20, 2001, pages 1-2, dailynews.yahoo.com

EXAMINER <i>Myrlandin Acute</i>	DATE CONSIDERED <i>1/23/04</i>
*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	

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